



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/859,995 05/21/97 HEMPLEMAN

DRESSLER ROCKEY MILNAMOW AND KATZ  
TWO PURDENTIAL PLAZA  
SUITE 4700  
180 NORTH STETSON AVENUE  
CHICAGO IL 60601

NOT ASSIGNED

DATE MAILED: 2414

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

10/14/97

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$130 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 1200.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 790-395 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27). 140

☒ 2. Additional claim fees of \$ 280 including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☒ missing.  
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

Kena Smalls -74  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

12/05/1997 DBACH  
01 FC:201 395.00  
02 FC:202 41.00  
03 FC:203 99.00  
04 FC:205 65.00



File No.: PRE2519P0010US

Sector

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

In re application of: Hempleman et al  
For: List Building System  
Serial No.: 08/859,995  
Filed: May 21, 1997  
Group Art Unit: 2414

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner of Patents Washington, D.C. 20231, on the date below.

Laurena K. Lieber  
Nov 6, 1997  
(Date)

ASSISTANT COMMISSIONER OF PATENTS  
Washington, D.C. 20231

Sir:

Completion of Filing Requirements

Transmitted herewith in response to the Office letter dated October 14, 1997 are:

- ☒ Declaration or oath for this application.  
☒ Notice to File Missing Parts - Response Copy.  
☒ \_\_\_\_\_ Statement(s) of Small Entity Status. (Filed concurrently herewith)  
\_\_\_\_ Other:  
☒ Filing fee for this application.  
☒ Missing Parts surcharge fee for this application.  
☒ A check in the amount of \$ 600.00 to cover the filing fee and/or surcharge is enclosed.  
\_\_\_\_ Charge \$ \_\_\_\_\_ to Deposit Account No. 04-1644.  
☒ The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or credit any overpayment, to Deposit Account No. 04-1644. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 04-1644. A duplicate copy of this sheet is enclosed.

Paul M. Vargo  
Paul M. Vargo, Registration No. 29,116

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November 6, 1997